

1 **Study of Urban traffic ambient noise characteristics based on cluster analysis**

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15 Submission date: July 29th, 2014

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17 Text words including the abstract, text and reference 2627 +5 figures (5×250=1250) =Total 3877.

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1 ABSTRACT

2 Urban transportation system as the urban arterial has also been rapidly developed in recent years. For
3 example, public transportation passenger capacity had been up to 21.96 million passengers a day by the end of
4 2013 in Beijing City. Developed city transportation system brings great convenience to travel, however, it should
5 not be ignored that urban traffic noise has been emerging accompanying with that. Moreover, urban traffic noise is
6 becoming more and more severe with the continuous boost of the urbanization process. For those people with poor
7 noise tolerance, such as the elderly, infants and young children, the possibility of suffering noise damage are
8 obviously higher than those normal adults. In order to protect the vulnerable groups' health, traffic noise
9 characteristics produced in all kinds of public transport environment of Beijing are analyzed in this paper based on
10 clustering analysis. All the traffic noise data are separately categorized in the whole frequency region, in the low
11 frequency region(≤ 200 Hz) and in the high frequency (≥ 2 KHz) .The classification results of various traffic
12 ambient noises in different frequency region are acquired. Traffic noise characteristics between in residential area
13 near overpass road and in residential area near ordinary road are also analyzed based on data collected from
14 specific test location. These results not only can offer theoretic basis for people to understand these noises
15 comprehensively and consciously mitigate noise side effect, but also can offer technical support for government
16 making-decisions.

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18 Key words: Urban traffic ambient noise, Frequency, Cluster analysis, Characteristics

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1 INTRODUCTION

2 Noise damages to health can generally be classified into two categories: physiological effects and
3 psychological effects. Physiological effects include hearing impairment, sleep disturbance, cardiovascular effects,
4 psychiatric symptoms, fetal development and so on (1). Psychosocial effects include noise annoyance, reduced
5 performance, increased aggressive behavior etc. (2). High Sound level noise and low frequency noise are two
6 severe public hazard and then sound level and frequency are often used to describe all the Physiological effects and
7 psychosocial effects caused by noise. Among them, sound level is more commonly used in various researches.
8 Almost all the noise criteria in the world are formulated by sound level. International Organization for
9 Standardization states a long-term average sound pressure levels (L_{Aeq}) of > 85 dB (A) are likely to cause
10 significant hearing loss(3).A daily average sound exposure equivalent to $L_{Aeq} = 70$ dB(A) is considered to be safe
11 for the ear (4).Except for standards formulated by sound level, most researches are carried out by it. It has been
12 reported that Schoolchildren' Reading and memory would be impaired when they were exposed to high levels of
13 aircraft noise (5).Children exposed to high levels of community noise had higher stress hormone levels and higher
14 mean blood pressure (6).During sleep, awakening reactions can be detected when event-related maximum noise
15 levels (L_{AF}) exceed some limit value in bedroom (7).

16 Although so many researches have been conducted based on high sound level, low frequency still cannot
17 be ignored. Low frequency noise (LFN) is refers to the frequency below 200 Hz which can affect our lives in
18 unforeseen ways, from disrupting sleep to making us inexplicable irritable or nervous. Low frequency noise
19 (infrasound included) is the superpower of the frequency range, which is attenuated less by walls, buildings and
20 other structures, can rattle walls and objects, masks higher frequencies, crosses great distances with little energy
21 loss. LFN is also able to produce resonance in the human body and causes great subjective reactions. Its hazards are
22 more severe than mid- and high frequencies'. These features confirm that the effects of LFN noise is deserved to
23 further study. Dramatic examples are shown as below: In 1974, Liszka found Noise at 2 Hz apparently emanating
24 from oil rigs in the North Sea also has been detected in Sweden (8).In 1978, Liszka also found the sonic booms of
25 supersonic aircraft flying between Europe and New York could produce low-frequency noise levels as strong as 75
26 dB as far away as the North of Sweden (9). It was recorded that low-frequency sound waves travelled around the
27 earth several times after the volcanic eruption of Mt. Krakatau, and a sound wave of 0.1 Hz will loose only 5% of
28 its energy in traveling around the earth (10). Late research have found that LFN can result in significantly
29 decreased subjectively judged working capacity, such pronounced deterioration of highly demanding task
30 performance as proof-reading task and verbal grammatical reasoning task (11, 12). Complaints following exposure
31 to LFN include: fatigue, feeling of apathy, loss of concentration, somnolence and depression (13).

32 Urban roads and traffic in China are rapidly increasing. A comprehensive road traffic network is forming,
33 in which all kinds of vehicles, i.e. cars, trucks, bus, light rail trains, subways, now are running day and night in the
34 modern city. In Beijing, for example, there were 21.148 million permanent population, 5.437 million vehicle
35 ownership, 22,486 public operating electrical vehicles, and 4.9 billion yearly passenger volumes by the end of 2013.
36 The rail transit operation length is up to 465 km, with 3.21 billion yearly passenger volumes. Rapid development of
37 traffic has brought great convenience for residents, but at the same time, road traffic noise pollution is also
38 becoming a great public hazard to China's urban environment. Traffic noise, with shifty sound sources, high sound
39 level, long time interference, seriously disturbs normal life of urban and rural residents. Especially in recent years,
40 urban construction and expansion of streets and roads make remote and quiet areas into bustling and noisy city.

1 More and more tall buildings standing on both sides of the road make noise bouncing back and forth in the street
 2 canyon, which further aggravates the impact of traffic noise on the surrounding environment. In large and medium
 3 cities of our country, more and more city residents living in excess noise environment, the traffic noise pollution
 4 complaints continue to rise. Noise sources associated with modern urban transportation system can include
 5 passenger vehicles, medium trucks, heavy trucks, buses and subways etc. Each of these vehicles produces noise,
 6 however, the source and magnitude of the noise can vary greatly depending on vehicle type. Simultaneously, the
 7 construction patterns of infrastructure and road grade also determine the difference of the noise. In this study, traffic
 8 environment noise data of Beijing city is collected and analyzed by Cluster Analysis. The results not only can
 9 provide a theoretical basis to protect public health, but also provide technical support for noise engineering control
 10 measures.

11 CLUSTER ANALYSIS

12 Cluster analysis is a statistics method which can be used to categorize object based on corresponding data.
 13 The common characteristics of this method is that the number of categories and structure are unknown in advance
 14 and similarity or dissimilarity between objects or data is the basis of analysis. The similarity or dissimilarity is
 15 considered as a metric of the "distance" between objects. Objects with a smaller "distance" are collected into one
 16 category and objects with a bigger "distance" can't be collected into one category. Hierarchical clustering method is
 17 one of the most widely used clustering analysis methods. Its mechanism follows below: first, supposing n samples
 18 as n categories and stipulating the distance among samples and the distance among categories; and then merging
 19 the nearest two samples into a new category and calculating the distance between the new categories and other
 20 categories; Repeating merging the nearest two categories according to the above procedure and reducing a new
 21 category each time until all the samples are merged into one category (14).

22 Hierarchical clustering method include many specific methods, e.g., the smallest distance method, the
 23 biggest distance method, middle distance method, class average distance method, the center-of-gravity method and
 24 Ward's method. In this study, the smallest distance method is adopted to categorize the objects in which the
 25 smallest "distance" of each 2 samples is presumed as the "distance" of all samples.

26 Equation 1 represents sample G_K and sample G_j merging G_M .

$$27 \quad D_{KL} = \min d_{ij} (i \in G_K, j \in G_L) \quad (1)$$

28 Then, computing the distance between G_M and G_j , the Recursion Formula is shown as below:

$$29 \quad D_{MJ} = \min d_{ij} (i \in G_M, j \in G_j) = \min \{ \min d_{ij} (i \in G_K, j \in G_j), \min d_{ij} (i \in G_L, j \in G_j) \}$$

$$30 \quad = \min \{ D_{KL}, D_{LJ} \} \quad (2)$$

31 CHARACTERISTICS ANALYSIS OF URBAN TRAFFIC AMBIENT NOISE

32 1 Characteristics Analysis of Urban Traffic Ambient Noise Based on the Smallest Distance Method

33 In order to analyze the noise characteristics of different traffic environment, test locations of typical traffic
 34 noise in Beijing city have been carefully chosen. Measurement time of data collection is set as about half an hour
 35 for each test location. Noise collecting sites include: beside the track of subway, outside station of subway, inside
 36 platform of subway, inside subway train, inside express rail train, inside bus, inside car (80Km), near overpass
 37 bridge, near branch way.

38 The characteristics of all the noise data measured in each test location are shown as Fig.1. It is clear that
 39 sound level of LFN is much higher inside bus, inside car, inside subway train, inside express rail train and sound
 40
 41

1 level of LFN is much lower inside platform of subway, outside station of subway. It is very hard to distinguish all
 2 the differences among all the noise data only by observing the noise plot in the whole frequency region displayed in
 3 Fig.1. It is well known that traffic noises belong to low frequency noise, higher sound level mainly focus on low
 4 frequency region which is always less than 500Hz. In order to clearly analyzed noise characteristics, all the noise
 5 data are separately categorized at a whole frequency region, at a frequency region which is less than 200 Hz and at
 6 a frequency region which is more than 2KHz .

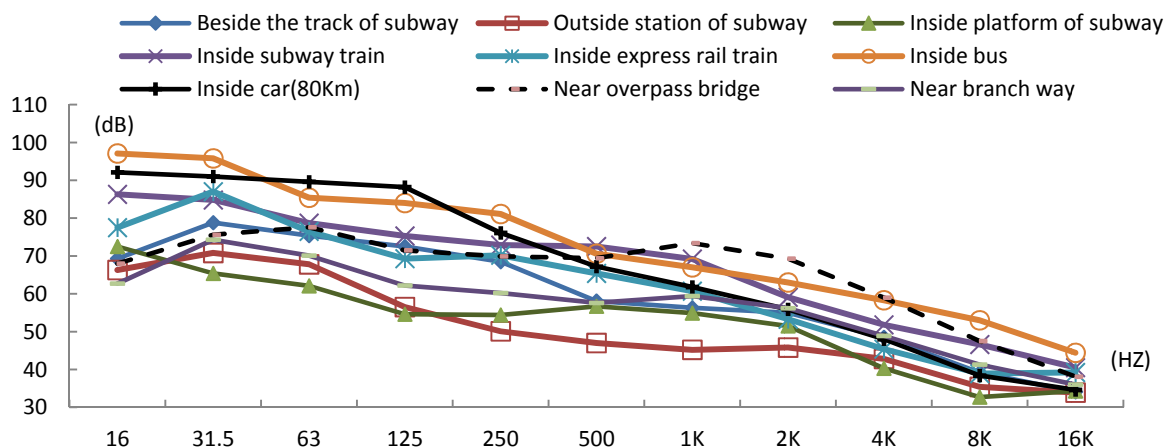


Figure 1 Characteristics of all kinds of urban traffic ambient noise

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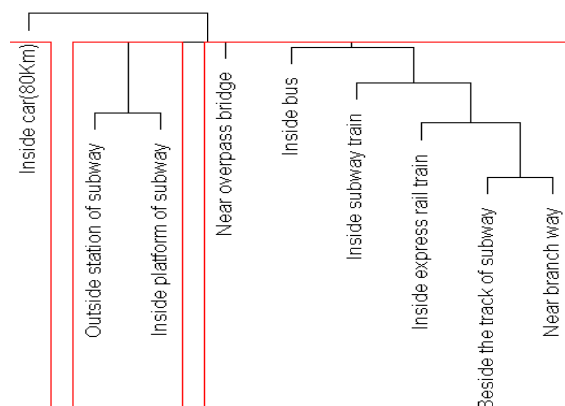


Figure 2 Categorizing traffic ambient noise in whole frequency region by the smallest distance method

9 Fig.2 shows that in whole frequency region, noise from near overpass bridge, inside bus, inside subway
 10 train, inside express rail train, beside the track of subway and near branch way are merged into one category, noise
 11 from outside station of subway and inside platform of subway are merged into another category and noise from
 12 inside car (80K m) forms one category by itself. Fig.3 shows that in frequency region (≤ 200 Hz), noise from
 13 inside bus and inside car (80K m) are merged into one category, noise from near branch way, near overpass bridge,
 14 beside the track of subway, inside subway train and inside express rail train are merged into one category, noise
 15 from outside station of subway and inside platform of subway are merged into one category. Fig.4 shows that in
 16 frequency region (≥ 2 KHz), noise from inside express rail train, beside the track of subway, inside car (80K m),

- 1 near branch way, outside station of subway and inside platform of subway are merged into one category, noise from
- 2 inside subway train and inside bus are merged into one category, noise from near overpass bridge is sui generis.

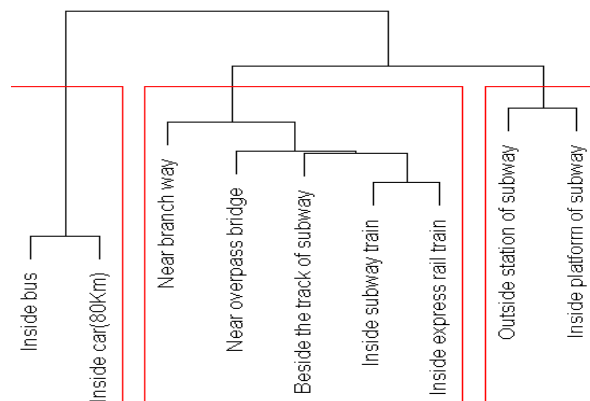


Figure 3 Categorizing traffic ambient noise in frequency region($\leq 200 \text{ Hz}$) by the smallest distance method

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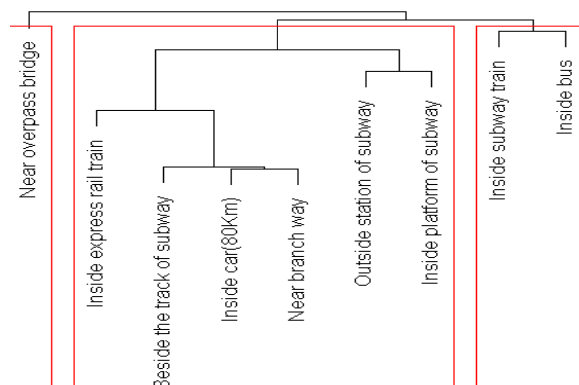


Figure 4 Categorizing traffic ambient noise in frequency region($\geq 2\text{KHz}$) by the smallest distance method

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5 **2、 Analysis of Indoors Traffic Noise Characteristics nearby Overpass Bridge and nearby Branch Way**

6 In order to compare noise impact on residential area, indoors traffic noise nearby Overpass Bridge and
 7 indoors noise nearby branch way are both measured very near from room window. Residential buildings nearby
 8 Overpass Bridge are equipped with double glazing. Test states are respectively set to 3 cases: opening window,
 9 closing one layer window and closing two layers window. In order to abating road traffic noise, residential
 10 buildings nearby branch way are equipped with glass curtain wall outside exterior wall which are facing street.
 11 There are some small ventilation windows on glass curtain wall. Windows of this building has only one layer glass.
 12 Test states are also set to 3 cases: opening window (open small window on glass curtain wall and room window),
 13 closing one layer window (close small window on glass curtain wall and open room window) and closing two
 14 layers window (close both room window and small window on glass curtain wall). Test results are shown as Fig.5.
 15 The overall level of noise sound level nearby overpass is higher than that nearby branch way, especially when

1 opening windows. When close one layer window, indoors noise nearby overpass can be abated 10~20dB in whole
 2 frequency region. When closing double window, low frequency noise($\leq 63\text{Hz}$) almost can't be shielded any more.
 3 It can be inferred that low frequency structure noise emerges indoors. In high frequency part, the filter capacity of
 4 the second layer glass is clearly smaller than the first layer glass. It also can be inferred that high frequency
 5 structure noise also emerges indoors, but the increment of high frequency structure noise is smaller than that of low
 6 frequency structure noise. Noise nearby branch way can hardly be filtered when closing small window on the glass
 7 curtain wall. Due to many air conditioning machines hanging on the exterior walls, and then there are many small
 8 window on Glass curtain wall which are always open for ventilation. These small open windows make it very
 9 difficult to prevent low frequency noise less than 200 Hz. Part of high frequency noise can be abated by glass
 10 curtain wall. When closing double window ,i.e., closing both room window and small window on glass curtain wall,
 11 indoors noise nearby overpass can be abated 10 dB ~15dB in whole frequency region.

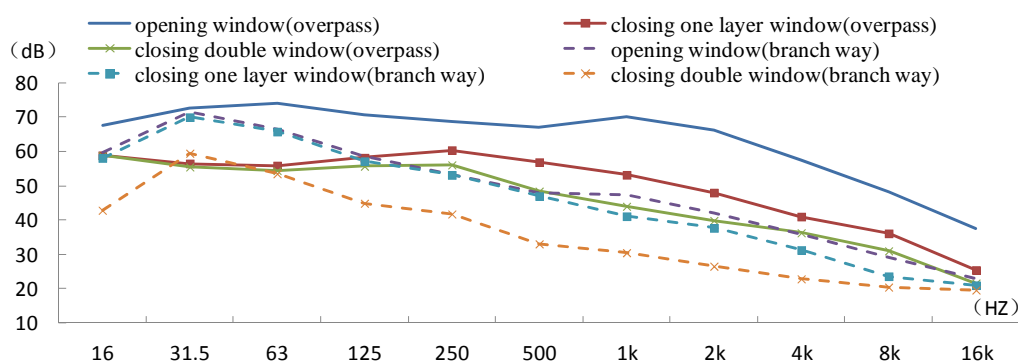


Figure 5 Indoors noise characteristic between nearby overpass bridge and nearby branch way

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13 CONCLUSIONS

14 Classic traffic ambient noise data of Beijing city are measured and analyzed in this study, which offer a
 15 comprehensive recognition to the characteristic of these specific noises, especially for those hazard factors such
 16 as high sound level noise part and low frequency noise part. In order to categorize all the traffic ambient noise in
 17 Beijing city, the smallest distance method, one of cluster analysis method is adopted in this study. The results
 18 separately shows the similarity between noises at 3 kinds of categories conditions: in whole frequency region, in
 19 frequency region($\leq 200\text{ Hz}$) and in frequency region($\geq 2\text{ kHz}$). The results of this research makes a better
 20 understanding of these specific noise and is helpful for people to know these noises clearly and consciously
 21 mitigate risk caused by noise. Of course, it also offers some theoretic support for formulating new noise abatement
 22 measures.

23 For rooms nearby urban roads, no matter it is near Overpass Bridge, express way or branch way, indoors
 24 traffic noise can't be effectively mitigated by glasses equipped on windows because traffic operation has caused
 25 structure vibration noise.

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